

Department of Health & Human Services
Centers for Medicare & Medicaid Services

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No. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 335702	(X2) MULTIPLE CONSTRUCTION A. Building B. Wing	(X3) DATE SURVEY COMPLETED 11/03/2023
NAME OF PROVIDER OR SUPPLIER Northwell Health Stern Family Center for Rehab		STREET ADDRESS, CITY, STATE, ZIP CODE 300 Community Drive Manhasset, NY 11030	
For information on the nursing home's plan to correct this deficiency, please contact the nursing home or the state survey agency.			
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (Each deficiency must be preceded by full regulatory or LSC identifying information)		
F 0689 Level of Harm - Minimal harm or potential for actual harm Residents Affected - Few	<p>Ensure that a nursing home area is free from accident hazards and provides adequate supervision to prevent accidents.</p> <p>**NOTE- TERMS IN BRACKETS HAVE BEEN EDITED TO PROTECT CONFIDENTIALITY** 34798</p> <p>Based on record review, observation, and interviews during the Recertification Survey and Abbreviated Survey (Complaint #NY00322792 and NY00317444), the facility did not ensure each resident received adequate supervision and assistance according to the plan of care to prevent accidents. This was identified for two (Resident #170 and #333) of five residents reviewed for Accidents. Specifically, 1) Resident #170's care plan documented the resident required two-person assistance for transfers. On 8/23/2023 Certified Nursing Assistant (CNA) #3 transferred Resident #170 from a shower chair to a wheelchair without utilizing assistance from a second person. Subsequently, Resident #170 fell sustaining an abrasion to the right knee; and 2) Resident #333 required extensive assistance of one person for toileting as per their Comprehensive Care Plan (CCP). On 5/30/2023 Rehabilitation Aide #1 brought Resident #333 back to their room after a therapy session. Resident #333 verbalized the need to use the restroom. Rehabilitation Aide #1 left Resident #333 unattended in their room and did not notify the nursing staff of the resident's request. Subsequently, Resident #333 attempted to toilet themselves, fell in the bathroom, and sustained a laceration to the scalp.</p> <p>The findings are:</p> <p>1) The facility's policy titled Activities of Daily Living (ADL)/Bathing/Personal Care Equipment, last reviewed 12/2022, documented the CNAs are expected to review the CNA instructions prior to rendering care.</p> <p>Resident #170 was admitted with diagnoses including Hemiplegia, Chronic Kidney Disease, and Hypertension. The 6/6/2023 Admission Minimum Data Set (MDS) assessment documented a Brief Interview for Mental Status (BIMS) score of 15, indicating the resident was cognitively intact. The MDS documented that the resident required extensive assistance of two persons for transfers and extensive assistance of one person for bathing.</p> <p>A CCP titled ADLs, Resident Preferences, effective 6/29/2023, documented under bathing the resident required extensive assistance in transferring back and forth from the wheelchair to the shower chair, and under transfers the resident required two-person physical assist.</p> <p>A Fall Risk assessment dated [DATE] documented the resident needed assistance standing, walking, and toileting. The resident required a yellow wrist band, which indicated that the resident was at risk for falls.</p> <p>(continued on next page)</p>		

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER
REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

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<p>F 0689</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Few</p>	<p>The Resident Nursing Instructions (instructions provided to the CNA regarding the resident's care needs) as of 8/23/2023 documented that the resident required two-person physical assistance for transfers and that the resident had left arm weakness.</p> <p>A nursing fall/occurrence note dated 8/23/2023 documented the resident's knees buckled while being transferred from the shower chair to the wheelchair. The resident sustained a right knee abrasion measuring 0.2 centimeter (cm) x 1 cm.</p> <p>The Accident and Incident (A/I) report dated 8/23/2023 documented that while CNA #3 was transferring the resident in the shower room from the shower chair to the wheelchair, the resident's knees buckled. CNA #3 attempted to hold the resident, but the resident slipped to the floor and sustained an abrasion to the right knee. The A/I report documented CNA #3 did not request assistance after the shower was completed to transfer the resident from the shower chair to the wheelchair. The A/I report documented that the resident needed extensive assistance of two persons for transfer activities and CNA #3 transferred the resident by themselves; CNA #3 did not review the transfer instructions prior to the shower; and CNA #3 admitted to not following the care instructions prior to rendering care.</p> <p>Resident #170 was observed in their room sitting in their wheelchair on 10/30/2023 at 11:30 AM. Resident #170 was wearing a yellow fall risk wrist band. Resident #170 stated they remembered the incident on 8/23/2023 but denied getting hurt.</p> <p>CNA #3 was interviewed on 11/1/2023 at 2:21 PM and stated they were the assigned CNA for Resident #170 on 8/23/2023 during the 3 PM-11 PM shift and had never worked with the resident before. CNA #3 stated they had transferred the resident from the shower chair to the wheelchair in the shower room after the shower by themselves. CNA #3 also stated that they transferred the resident right before the shower from the wheelchair to the shower chair by themselves, but there was no problem. CNA #3 stated they did not realize the resident required two-person assistance for transfers because they did not look at the resident's care profile. CNA #3 stated they did not have time to look at the care profile because they had to respond to call bells that were sounding. CNA #3 could not recall if the resident had a yellow wrist band that identified the resident to be at risk for falls.</p> <p>Registered Nurse (RN) #5, the Inservice Coordinator, was interviewed on 11/2/2023 at 8:06 AM. RN #5 stated CNAs are expected to check the resident's care profile before providing any care. RN #5 stated the CNAs must check the resident care profile every day, even if they had the resident the day before, because the residents' status related to their ADL needs could change overnight.</p> <p>The Director of Nursing Services (DNS) was interviewed on 11/2/2023 at 9:00 AM and stated a shower is not an emergency and it can wait until the CNA checks the resident care profile for the resident's transfer status, before providing care.</p> <p>40696</p> <p>(continued on next page)</p>		

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<p>F 0689</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Few</p>	<p>2) Resident #333 was admitted with diagnoses including Spinal Stenosis, Cancer and Malnutrition. The Minimum Data Set (MDS) assessment dated [DATE] documented the resident had a Brief Interview for Mental Status (BIMS) score of 8 which indicated the resident had moderately impaired cognition. The resident required extensive assistance of one person for transfers, toileting, dressing, and personal hygiene. The MDS documented that Resident #333 was not steady and was only able to stabilize with staff assistance when moving from a seated to a standing position, walking, turning around, moving on and off the toilet, and surface to surface transfers.</p> <p>The Resident Nursing Instructions (Instructions provided to Certified Nursing Assistants regarding the resident's care needs) dated 5/24/2023 documented Resident #333 required extensive assistance of one-person for toilet use.</p> <p>The Comprehensive Care Plan (CCP) for Rehabilitation/Activities of Daily Living (ADL) Potential dated 5/24/2023 documented that Resident #333 had decreased balance, impaired transfers, and decreased functional mobility. The CCP did not indicate how much assistance the resident required for transfers.</p> <p>The facility's Fall Event report dated 5/30/2023 documented that on 5/30/2023 at 9:40 AM, Registered Nurse (RN) #7 was alerted to a loud noise in Resident #333's room. Resident #333 was found lying on their right side on the bathroom floor with a laceration measuring 1 centimeters (cm) x1cm to the scalp. Resident #333 was transferred to the emergency room for evaluation. Resident #333 stated they (Resident #333) were trying to use the toilet without assistance. The fall event report summary documented that Resident #333 required one-person physical assistance for toileting. Rehabilitation Aide #1 transported Resident #333 to their room from the rehabilitation gym. According to Rehabilitation Aide #1, Resident #333 requested to go to the bathroom. Rehabilitation Aide #1 asked Resident #333 if they (Resident #333) were able to toilet on their own and Resident #333 replied yes. Rehabilitation Aide #1 then locked the wheelchair and left Resident #333 in the room. Rehabilitation Aide #1 did not confirm Resident #333's transfer status or toileting needs with the nurse prior to leaving the area. Resident #333 was found on the floor due to attempting to toilet themselves. Resident #333 was returned from the hospital with steri-strips (thin adhesive strips used to close small wounds) to the mid-forehead.</p> <p>Rehabilitation Aide #1 was interviewed on 11/2/2023 at 2:16 PM. Rehabilitation Aide #1 stated that they had been employed by the facility since 5/8/2023. Rehabilitation Aide #1 stated that they were educated during orientation to check the resident's wristbands to identify the fall risk and to be familiar with the resident's assistance needs. On 5/30/2023 at 9:00 AM, Rehabilitation Aide #1 transported Resident # 333 back to their room. Rehabilitation Aide #1 stated they were not familiar with Resident #333 and when Rehabilitation Aide #1 brought Resident #333 to their room, Resident #333 said they had to use the bathroom. Rehabilitation Aide #1 stated they asked Resident #333 if they (resident) needed any assistance to use the bathroom. Resident #333 told Rehabilitation Aide #1 that they can toilet themselves. Rehabilitation Aide #1 stated they (Rehabilitation Aide #1) locked the resident's wheelchair and did not inform the nurses that they left Resident #333 in the bathroom unattended. Rehabilitation Aide #1 stated that they usually inform the nurses when they bring the residents to their room after a therapy session; however, they just forgot to inform the nurses in this instance.</p> <p>(continued on next page)</p>		

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<p>F 0689</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Few</p>	<p>Certified Nursing Assistant (CNA) #1 was interviewed on 11/2/2023 at 2:42 PM and stated that Rehabilitation Aide #1 did not inform them that Resident #333 had to use the restroom on 5/30/2023 when they brought the resident back to their room.</p> <p>(RN #7) was interviewed on 11/3/2023 at 9:12 AM. RN #7 stated that on 5/30/2023, Resident #333 was on their assignment. RN #7 stated that they did observe Rehabilitation Aide #1 escort Resident #333 to Resident #333's room. RN #7 was at the nurse's station on a phone call, walked to the medication cart to get information for the call, and then heard a loud noise in Resident #333's room. RN #7 stated that they went to Resident #333's room and observed that Resident #333 had a cut on their scalp. Rehabilitation Aide #1 did not stop by the nurse's station to let RN #7 know that Resident #333 had to use the bathroom. RN #7 stated that if a resident needs to use the bathroom, the Rehabilitation aides have to inform the nurse to ensure the resident's safety.</p> <p>The Director of Rehabilitation was interviewed on 11/3/2023 at 9:25 AM. The Director of Rehabilitation stated that when the residents are transported back to their rooms by the Rehabilitation Aides, the aides are expected to inform the nursing staff if the resident has to use the bathroom. The Rehabilitation Aides are not expected to assist with toileting and are not expected to know the resident's care needs since they do not provide direct care. The Director of Rehabilitation stated that Rehabilitation Aide #1 should have informed the nursing staff that Resident #333 needed to use the restroom.</p> <p>The Director of Nursing Services (DNS) was interviewed on 11/3/2023 at 9:56 AM. The DNS stated that Rehabilitation Aide #1 was expected to inform the nurse that Resident #333 needed to use the restroom.</p> <p>The Standards/Evaluation Criteria for Rehabilitation Aide orientation policy dated July 2023 documented that Rehabilitation Aides transport residents to and from the department according to the daily schedule established by the therapist. The Rehabilitation Aide informs nursing staff upon return to the floor and any change in status. Rehabilitation Aides maintain safety of residents at all times.</p> <p>Based on the following corrective actions taken, there was sufficient evidence the facility corrected the noncompliance and was in substantial compliance with this specific regulatory requirement at the time of this survey:</p> <p>For the incident related to Resident #170 (NY00322792):</p> <p>--CNA #3 admitted to not reviewing the care profile before providing care on 8/23/2023 and was suspended.</p> <p>--CNA #3 confirmed that they received counseling and re-education after the 8/23/2023 incident regarding following the resident's care profile.</p> <p>--Following the incident of 8/23/2023, all facility CNAs and nurses were educated on following the care profile instructions by 9/15/2023. Lesson plans and in-service sign-in sheets were provided.</p> <p>--During the survey other staff were interviewed and confirmed that they had received education related to the need to follow instructions documented on the resident's care profile</p> <p>(continued on next page)</p>		

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F 0689 Level of Harm - Minimal harm or potential for actual harm Residents Affected - Few	<p>And</p> <p>For Incident related to Resident #333 (NY00317444):</p> <p>--Rehabilitation Aide #1 was suspended pending investigation.</p> <p>--Rehabilitation Aide #1 Competency Checklist for Resident Transport procedure dated 6/2/2023 documented that Rehabilitation Aide #1 met the competency requirements.</p> <p>--Job-Specific Orientation Form dated 6/6/2023 documented that Rehabilitation Aide #1 received education on 6/6/2023 regarding General Rehabilitation Policy and Procedures including safety, resident transportation procedure/process, safety techniques during transport, communication between nursing/therapist/resident.</p> <p>--The Performance Improvement Committee Group (PICG) meeting minutes dated June 21, 2023, documented that the incident regarding Resident #333's fall was discussed and the Rehabilitation Department was conducting education with all transporters and Rehabilitation Aides.</p> <p>--The facility provided Resident Transport Procedure competency checklists for all Rehabilitation Aides and recreation staff members dated 5/31/23 to 6/12/23.</p> <p>10 NYCRR 415.12(h)(2)</p>		